

FORM PTO-1390 (REV 10-94)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 10873.1687USWO
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown 10/532946
INTERNATIONAL APPLICATION NO. PCt/JP2003/013745	INTERNATIONAL FILING DATE 28 October 2003	PRIORITY DATE CLAIMED 28 October 2002	
TITLE OF INVENTION OPTICAL INFORMATION RECORDING METHOD, OPTICAL INFORMATION RECORDING DEVICE AND OPTICAL INFORMATION RECORDING MEDIUM			
APPLICANT(S) FOR DO/EO/US NARUMI et al.			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> A signed oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 			
Items 11. to 16. below concern document(s) or information included:			
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form 1449, 6 references.			
12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.			
13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.			
14. <input type="checkbox"/> A substitute specification.			
15. <input type="checkbox"/> A change of power of attorney and/or address letter.			
16. <input checked="" type="checkbox"/> Other items or information: International Publication Page, Application Data Sheet, Communication regarding Publication, Communication under 37 CFR 1.32(c)(3), 18 Sheets of Formal Drawings, Form PCT/ISA/210, PCT/IB/304, Form PCT/IB/308, Form PTO-1390, Credit Card Authorization, Change of Correspondence			

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) Unknown 10/532946		INTERNATIONAL APPLICATION NO. PCT/JP2003/013745		ATTORNEY'S DOCKET NUMBER 10873.1687USWO	
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):					
[X] a) Basic National fee.....\$300.00				\$300.00	
[X] b) Examination fee.....\$200.00				\$200.00	
[X] c) Search fee.....\$500.00				\$500.00	
TOTAL OF ABOVE CALCULATIONS =				\$1000.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	Rate		
-100 =	/50 =		X \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	28 -20 =	8	X \$50.00	\$400.00	
Independent claims	8 -3 =	5	X \$200.00	\$1000.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1400.00	
Reduction by 1/2 for filing by small entity, if applicable. Small entity status is claimed pursuant to 37 CFR 1.27				\$	
SUBTOTAL =				\$2400.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+ \$	
TOTAL NATIONAL FEE =				\$2400.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+ \$40.00	
TOTAL FEES ENCLOSED =				\$2440.00	
				Amount to be: refunded	\$
				charged	\$

- a. [] Check(s) in the amount of \$ to cover the above fees is enclosed.
- b. [X] Please charge the amount of \$2440.00 to cover the required filing fee for a large entity to the credit card listed on the enclosed credit card authorization form.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-3478.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Douglas P. Mueller
Hamre, Schumann, Mueller & Larson, P.C.
P.O. Box 2902-0902
Minneapolis, MN 55402

SIGNATURE: 

NAME: Douglas P. Mueller

REGISTRATION NUMBER: 30,300

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/532946

JC12 Rec'd PCT/PTC 26 APR 2005

Applicant: NARUMI et al.

Docket: 10873.1687USWO

Title: OPTICAL INFORMATION RECORDING METHOD, OPTICAL INFORMATION
RECORDING DEVICE AND OPTICAL INFORMATION RECORDING MEDIUM**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: ED769610615US

Date of Deposit: 26 April 2005

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: 

Name: Raquel M. Zamorano-Carey

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal sheet, in duplicate, containing Certificate under 37 CFR 1.10.
- ☒ National Stage PCT Patent Application: Spec. 29 pgs; 27 claims; Abstract 1 pgs.
The fee has been calculated as shown below in the 'Claims as Filed' table.
- ☒ 18 sheets of formal drawings
- ☒ A signed Combined Declaration and Power of Attorney
- ☒ Assignment of the invention to Matsushita Electric Industrial Co., Ltd., Recordation Form Cover Sheet
- ☒ Please charge the amount of \$2400.00 to cover the Filing Fee and \$40.00 for the Assignment Recording Fee to the credit card listed on the enclosed credit card authorization form.
- ☒ Information Disclosure Statement, Form 1449, 6 reference(s).
- ☒ Application Data Sheet, 4 pages.
- ☒ Other: International Publication Page, Preliminary Amendment, Communication under 37 CFR 1.32(c)(3), Communication regarding Publication, Credit Card Authorization, Form PCT/ISA/210, Form PCT/IB/304, Form PCT/IB/308, Form PTO-1390
- ☒ Return postcard

CLAIMS AS FILED

Number of Claims Filed	No.	In Excess of	Extra	Rate		Fee
Total Claims	28	20	8	50.00	=	400.00
Independent Claims	8	3	5	200.00	=	1000.00
Multiple Dependent Claims Fee					=	0.00
- Basic Filing Fee					=	300.00
- Search Fee					=	500.00
- Examination Fee					=	200.00
Utility Application Size Fee		100		250.00	=	0.00
Total					=	2400.00

Please charge any additional fees or credit overpayment to Deposit Account No 50-3478. A duplicate of this sheet is enclosed.

Hamre, Schumann, Mueller & Larson, P.C.
P.O. Box 2902-0902 Minneapolis, MN 55402
612.455-3800

By: 

Name: Douglas P. Mueller

Reg. No.: 30,300

Initials: DPM/hjm

52835

PATENT TRADEMARK OFFICE

(PTO TRANSMITTAL - NEW FILING)

S/N

Unknown

JC12 Recd PCT/ETC

PATENT

10/532946

26 APR 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: NARUMI et al. Examiner: Unknown
Serial No.: Unknown Group Art Unit: Unknown
Filed: 26 April 2005 Docket: 10873.1687USWO
Title: OPTICAL INFORMATION RECORDING METHOD,
OPTICAL INFORMATION RECORDING DEVICE AND
OPTICAL INFORMATION RECORDING MEDIUM

CERTIFICATE UNDER 37 CFR 1.10

Express Mail mailing label number: ED769610615US

Date of Deposit: 26 April 2005

I hereby certify that the papers listed below are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 in an envelope addressed to: Mail Stop Application, Commissioner for Patents, P.O. Box 1450, Arlington, VA 22313-1450.

By: 

Name: Raquel M. Zamorano-Carey

COMMUNICATION REGARDING PUBLICATION

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1405

Dear Sir:


In connection with the above-identified application, applicant respectfully requests that Figure 4 be printed on the front page of the published application.

If a telephone conference would be helpful in resolving any issues concerning this communication, please contact Applicants' primary attorney-of record, Douglas P. Mueller (Reg. No. 30,300), at (612) 455.3804.

Respectfully submitted,

HAMRE, SCHUMANN, MUELLER &
LARSON, P.C.
P.O. Box 2902-0902
Minneapolis, MN 55402-0903
(612) 455.3800

Dated: 26 April 2005

By: 
Douglas P. Mueller
Reg. No. 30,300

DPM:hjm